



**ANTI MONEY LAUNDERING / KNOW YOUR CUSTOMER / COMBATING  
TERRORIST FINANCING QUESTIONNAIRE**

**SECTION I-GENERAL ADMINISTRATIVE INFORMATION**

Registered Name	:	TURKIYE FINANS KATILIM BANKASI A.S.	
City / Country	:	ISTANBUL/TURKEY	
Type of The Bank (i.e. PLC, LLC)	:	Incorporation	
Registered and Head Office Address	:	Saray Mah. Sokullu Cad. No:6 Umraniye/Istanbul TURKEY	
Banking License No & Date & Issued By	:	Decree No:40896 of Central Bank of Turkey 24/10/1991	
Number of Branches	:	301	
Number of Employees	:	3.798	
Web Address	:	<a href="http://www.turkiyefinans.com.tr">www.turkiyefinans.com.tr</a>	
SWIFT Address	:	AFKBTRISXXX	
Tax ID	:	0680063870	
Parent Company	:	NCB (National Commercial Bank-Saudi Arabia)	
Subsidiaries	:	TF Varlik Kiralama A.S.-Turkey TFKB Varlik Kiralama A.S.-Turkey	
Name of External Auditor	:	Güney Bagimsiz Denetim ve SMMM A.S. , (A member firm of Ernst&Young Global Limited)	
Principal Local Regulators	:	Banking Regulation and Supervision Agency <a href="http://www.bddk.org.tr">www.bddk.org.tr</a> The Financial Crimes Investigation Board <a href="http://www.masak.gov.tr">www.masak.gov.tr</a> Central Bank of Republic of Turkey <a href="http://www.tcmb.gov.tr">www.tcmb.gov.tr</a>	
Principal Business activities	:	Commercial, Retail, Corporate and International Banking	
The owners of our institution and their shares	:	The National Commercial Bank (NCB) - Saudi Arabia	67,03%
	:	Gozde Girisim Sermayesi Yatirim Ortakligi A.S. (ULKER Group) - Turkey	10,57%
	:	Other Shareholders	22,40%
Board of Directors	:	SAEED MOHAMMED A. ALGHAMDI – Chairman	
	:	SULEYMAN MURAT AKSAM – Board Member & CEO	
	:	EREN GURA – Board Member	
	:	FAISAL OMAR A. ALSAGGAF – Board Member	
	:	MAJED HAMDAN A. ALGHAMDI – Board Member	
	:	MERIC ULUSAHIN – Board Member	
:	MUGE ONER – Board Member		

## SECTION II- QUESTIONNAIRE

Does your organization have written policies and procedures for prevention of Money Laundering and Terrorist Financing including the identification of customers?	<b>YES</b>
Is your organization subject to local laws and regulations designed to prevent money laundering?	<b>YES</b>
Are shares publicly held or privately owned?	<b>PRIVATELY OWNED</b>
Is it traded on any Stock Exchange?	<b>NO</b>
Is money laundering/terrorist financing considered in your country as a crime?	<b>YES</b>
Do the above regulations require you to fully understand and appropriately document the nature of the correspondent bank's business, reputation and adequacy of inspection on it prior to opening an account for a correspondent bank?	<b>YES</b>
Do the above regulations require you to have effective controls in place to prevent and identify the proceeds of money laundering through your system?	<b>YES</b>
Do the laws and regulations in your jurisdiction prohibit opening or maintenance of anonymous or numbered accounts?	<b>YES</b>
Do the laws and regulations in your jurisdiction prohibit conducting business with shell banks (i.e., banks with no physical presence in any jurisdiction)?	<b>YES</b>
Does your organization maintain relationships with banks that use payable-through accounts and does your organization keep such accounts in its own books?	<b>NO</b>

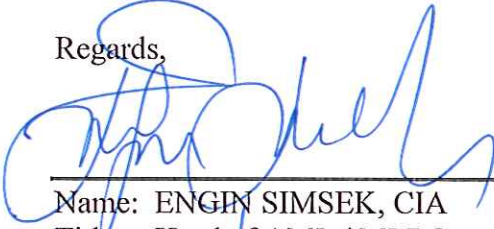
Does your institution follow FATF recommendations on money laundering and terrorist financing?	YES
Does your regulator regularly circulate details of individuals or organizations suspected of money laundering and / or terrorist activities?	YES
Does your regulator undertake monitoring visits to assess your anti-money laundering and anti-terrorist financing policies and procedures?	<b>YES. In addition to other auditors that MASAK (AML Regulator) sends as and when needed, BRSA Auditors who regularly visit banks and cover AML and Anti-Terrorist Financing issues are part of their inspection process.</b>
Are the Anti-Money Laundering Policies applicable to your Head Office and Local Branches?	YES
Are these policies and procedures also mandatory for all of your overseas operations?	YES
Does your organization have an established Employee Training Program to increase employees' awareness on combating Money Laundering and Terrorist Financing?	YES
Does your organization have an established method of reporting suspicious activities/transactions to the appropriate regulator/law enforcement agency?	YES
Does your organization maintain a complete record of customers' transaction history, correspondence etc.?	YES
Does your organization ensure that all possible measures are taken to get information about the identity of the customers?	YES
Has your organization ever been prosecuted, fined by your regulator for failure to comply with stipulated Anti-Money Laundering laws and regulations?	NO

## SECTION III-CONTACT DETAILS

HEAD OF AML / MLRO	
Name	ENGİN SIMSEK, CIA
Mailing Address	Saray Mah. Sokullu Cad. No:6 Umraniye/Istanbul TURKEY
Telephone	+90 216 676 23 29
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E-mail	<a href="mailto:engin.simsek@turkiyefinans.com.tr">engin.simsek@turkiyefinans.com.tr</a>

I certify that I am authorized to complete this questionnaire and to the best of my knowledge the information given is complete and correct.

Regards,



Name: ENGİN SIMSEK, CIA

Title : Head of AML / MLRO

Date : March 6<sup>th</sup>, 2020